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**DEPARTMENT OF DISASTER MANAGEMENT AFFAIRS**

**UNDP Disaster Risk Management Programme Support to Malawi 2012-2016**

Second Quarter Progress & Financial Report

1st April to 30th June 2014

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# Acronyms

AWP Annual Work Plan

DoDMA Department of Disaster Management Affairs

DRM Disaster risk management

DRR Disaster risk reduction

EWS Early Warning System

GEF Global Environmental Facility

HFA Hyogo Framework for Action

MGDS Malawi Growth and Development Strategy

MoLGRD Ministry of Local Government and Rural Development

NGO Non-governmental organization

PER Public Expenditure Review

PSD Programme Support Document

SGS (DRR) Small Grants Scheme

SWG Sector working group

TC Technical Committee

ToRS Terms of Reference

UNICEF United Nations Children Fund

UNDP United Nations Development Programme

UNFPA United Nations Population Fund

# Executive Summary

The UNDP Disaster Risk Management Programme Support to Malawi is a five-year programme that will run from 2012 to 2016. Presently the programme is being supported through direct payment. Among the key outputs achieved during the second quarter were the finalization of the development of National DRM Communication Strategy and commencement of all 5 projects that are being supported under the DRR small grants scheme in Karonga, Salima and Phalombe..

Strengthening of partnerships with other key stakeholders, proper coordination and consultations were some of the key strategies that contributed to the successes the programme registered in the 2nd quarter. Additional consultations on the DRM Communication Strategy were conducted with support from the GEF Early Warning System project to include issues of weather, climate and early warning in the communication strategy. A major implementation challenge was delays in settling payments and in procurement of some items such as stationery for implementation of activities, due to direct payment mode of financing.

# Situational Background

In 2012, the Department of Disaster Management Affairs (DoDMA) signed a five-year programme support document with the United Nations Development Programme (UNDP) on UNDP’s support to the Government of Malawi for disaster risk management interventions. The programme will run for five years from 2012 to 2016 and rolled-out in the last quarter of 2012. The programme has been aligned to the Hyogo Framework for Action as well as the Malawi Growth and Development Strategy II (MGDS II), supporting theme 3 of the MGDS II: *Social Support and Disaster Risk Management*. DoDMA is the lead implementation institution, together with other line ministries, district authorities, NGOs and other UN agencies, while UNDP provides financial and technical support. Some of the key partners that have co-financed activities under the project or have jointly implemented some activities are: Ministry of Local Government and Rural Development, Poverty and Environment Initiative, Centre for Environmental Policy and Advocacy and Catholic Development Commission. The programme is currently being implemented through direct payment mode.

The programme has the following three key outputs:

* Disaster risk management mainstreamed in policies, development plans and programmes at national level and implemented in 15 disaster-prone districts;
* Data and knowledge on the impact of natural disasters collected and made accessible to decision makers in government, private sector, civil society, and communities; and
* Coordination mechanisms and implementation arrangements for DRM/DRR established and used at national level and in the 15 disaster-prone districts.

Among the key achievements of the project to date are:

* Finalisation of the review of the Disaster Preparedness and Relief Act;
* Finalization of the draft National DRM Policy;
* Conduct monitoring and review meetings;
* Establishment, launch and support to national DRM platform;
* Orientation of grantees of the disaster risk reduction community-based small grant scheme; and
* Finalisation of the national DRM communication strategy.

This quarterly report covers the period 1st April to 30th June 2014.

# Assessment of Project Results During the Reporting Period

| **EXPECTED CP OUTPUTS AND TARGETS for 2014** | **PLANNED ACTIVITIES** | **RESPONSIBLE**  **PARTY** | **RESULTS OF ACTIVITIES** | **PROGRESS TOWARDS ACHIEVING OUTPUTS** | **COMMENTS/DETAILS ON OUTPUT** |
| --- | --- | --- | --- | --- | --- |
| ***Output 1: Disaster risk management mainstreamed in policies and development plans***  Targets:  Disaster Preparedness and Relief Act finalized;  DRM policy finalized and submitted to cabinet;  ***Output 2: Data and knowledge on the impact of natural disasters collected and made accessible to decision makers in Government, private sector civil society and communities*** | 1.1 Finalise the review of the Disaster Preparedness and Relief Act | DoDMA | Held workshop to provide DoDMA’s comments on the bill and had meeting with the consultant | Partially achieved | The Department met to provide its comments on the draft bill. At the end of the meeting, a number of issues were proposed to be removed, amended or added. A meeting was also held during the quarter with the local consultant where the issues were discussed and agreed upon. The consultant will be submitting a revised bill towards the end of July 2014 which will thereafter be presented to the PS committee as well as members of relevant parliamentary committee |
| Develop DoDMA’s devolution plan | DoDMA | Held workshop to draft DoDMA’s devolution plan | Partially achieved | This activity was not planned for in the 2014 AWP. However, a need and opportunity arose to develop a devolution plan for the department which would facilitate the devolution of some functions of the department to the district councils, thereby ensuring funding availability for DRM in the councils. A draft devolution plan was developed and submitted to the Ministry of Local Government and Rural development. |
| Disburse first tranche of funds for the DRR small grants scheme | DODMA | First tranche of funds were disbursed to all 5 grantees | Fully achieved | Implementation of all projects under the DRR small grants scheme commenced in the quarter. Funds were transferred to all 5 grantees (Caritas Foundation and Ngerenge ACPC in Karonga, Tamani CBO and Mulanje Mountain Conservation Trust in Phalombe and Kandulu VCPC in Salima. A joint monitoring vist with a Chinese UNDP mission was conducted to appreciate the works being undertaken by Kandulu VCPC in Salima. |
| Collect baseline data in 4 districts | DoDMA | Baseline data was collected in Karonga, Rumphi, Machinga and Zomba districts | Partially Achieved | The data was collected by a national as well as district level team over 2 weeks in the 4 districts. The data was collected from the following sectors: agriculture, health and nutrition, education, protection, water, sanitation and hygiene, disaster risk management, environment, energy, climate change, population, transport and logistics, macro and micro economy and poverty and housing and shelter |
| Finalise, launch and operationalize the DRM communication strategy | DoDMA | Held community level consultations on the communication startegy in Nsanje, Zomba, Nkhatabay and Salima | Partially achieved | The purpose of the consultations were to incorporate issues of climate, weather and early warning systems in the communication strategy, which is part of the activities under the UNDP/GEF early warning systems project. The issues have been incorporated in the strategy and it will be launched in the 3rd quarter |
| ***Output 3: Coordination mechanisms and implementation arrangements for DRM/DRR established and used at national level and in the 15 disaster-prone districts.*** | Participate in relevant DRR local and international meetings/conferences | DoDMA | Attended the 5th African Regional Platform on DRR in Abuja, Nigeria | Fully achieved | 2 officers were supported to attend the Regional Platform in Nigeria. The main objective of the meeting was to come up with Africa’s position on the HFA successor. |

### Progress towards achieving programme outcomes and expected impact

The project is in the second year of implementation which makes it challenging to report on expected outcomes and impact. However, the progress made to date as indicated in Section A of this report, shows that the project is on track as a lot of regulatory and policy frameworks have been developed. So far, about 60% of expected outputs for 2014 have been achieved by the end of the 2nd quarter and expenditure was at more than 60%. As per the Disaster Risk Management Programme Support Document (DRM PSD), a mid-term evaluation will be conducted to assess the impact.

### Gender Mainstreaming

Efforts are being made to include gender aspects in the regulatory frameworks and policies being developed under this programme. During the collection of baseline data, the information being collected was being disaggregated by gender, wherever necessary. It has been proven that women and children are more affected by disasters compared to men which makes them more vulnerable necessitating more targeted interventions in DRM programme. Deliberate effort is being made to target and include women in most of the DRR small grants scheme.

### Status of key partnerships and inter-agency/departmental collaboration in the area of the outcome:

The implementation of some activities established some partnerships and provided some opportunity for co-financing. It also identified areas where new partnerships can be established. Some of the activities implemented in the quarter where there was collaboration with other projects and new partnerships included:

|  |  |  |
| --- | --- | --- |
| **Activity** | **Collaborating partners** | **Extent of collaboration** |
| Conduct Public Expenditure Review for DRM | Poverty and Environment Initiative | Financial and technical support |
| Collection of baseline data | World Bank | Financial support |
| Development of National DRM Communication Strategy | UNDP/GEF | Financial support |

# Financial Status and Utilization

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Project Tile: UNDP Disaster Risk Management Programme Support to Malawi 2012-2016** | | | | |
| **Activity** | **Budget (MK)** | **Expenditure (MK)** | **Balance** | **Donor contributions** |
| DoDMA workshop to discuss the DRM Bill |  | 2,897,168,82 |  | UNDP TRAC |
| Disbursed first tranche of DRR small grant scheme funds |  | 27,766,804.75 |  | UNDP TRAC |
| Monitored SGS in Salima with UNDP China mission |  | 360,784.00 |  | UNDP TRAC |
| Signing of MoU with SGS recipients and District Councils |  | 661,365.00 |  | UNDP TRAC |
| Workshop on DRM Guidelines |  | 4,638,442.86 |  | UNDP TRAC |
| Procument of Filling Cabinet |  | 155,000.00 |  | UNDP TRAC |
| Collected baseline data in Karonga, Rumphi, Machinga and Zomba |  | 9,693,191.55 |  | UNDP TRAC |
| Attended 5th Africa Regional Platform on DRR in Abuja, Nigeria and resilient workshop in SA + Indonensia |  | 6,022,512.08 |  | UNDP TRAC |
| Consultancy payment to development Gateway Foundation Inc |  | 3,833,226.00 |  | UNDP TRAC |
| Consultancy for DRR Mapping advert cost |  | 53,546.95 |  | UNDP TRAC |
| Project Management support/exc salaries |  | 382,636.00 |  | UNDP TRAC |
| Project Vehicle Maintenance |  |  |  | UNDP TRAC |
| Drafted DoDMA Devolution Plan |  | 6,047,227.31 |  | UNDP TRAC |
| **Total Expenditure** |  | **59,614,736.50** |  |  |

# Conclusion

The programme’s progress so far for the quarter is making strides in achieving its targets. In a few cases, progress has been a challenge, especialy for activities that are controlled by other players. The finalisation of the policy, for example, requires Cabinet to meet and approve the policy, which is outside the control of the DoDMA. The major challenges during implementation came as a result of some delays in procuring some items such as stationery for implementation of activities and payment of DSA for field workers. There have also been delays in approving the DRM Policy as cabinet was not able to discuss the policy during the quarter as was planned. The Operational Guidelines could also not be finalized since it will need to be revised so that it is aligned to the DRM bill sin ce it will be a subsidiary legislation.

# Future Work Plan

The following activities are planned to be implemented during the third quarter of 2014, from 1st July to 30th September 2014:

1. Present the revised Disaster Risk Management Bill to national stakeholders, NDPRRC and Parliamentary Committee on Agriculture, Natural resources and Environment
2. Present the National Disaster Risk Management Policy to Cabinet
3. Introduce DRM into school curricula (awareness raising and monitoring)
4. Integrate DRM into district development plans in two districts (jointly with GEF/EWS project to integrate issues of weather, climate and early warning)
5. Review operational guidelines on DRM to align it to the revised Act
6. Support national training on search and rescue
7. Develop DoDMA Devolution Plan and submit to MoLGRD and NLGFC
8. Collect baseline data in 2 districts of Salima and Mangochi and develop database for disaster risk management
9. Monitor implementation of DRR SGS projects in Karonga, Salima and Phalombe
10. Disburse second tranch of grants to DRR SGS implementing partners
11. Finalize, print & launch National DRM Communication Strategy
12. Participate in relevant DRR local and international meetings/conferences
13. Hold 1 sector working group meeting to share progress
14. Hold Technical/Steering Committee Meeting

# Annex:

### Project Annual Work Plan Monitoring Tool

The Annual Work Plan (AWP) Monitoring Tool Year\_\_\_2014, 2nd quarter \_

CP Component: Crisis Prevention and Recovery\_\_\_

**Implementing Partner \_\_\_\_\_\_\_\_DoDMA\_\_\_**

| **EXPECTED CP OUTPUTS AND INDICATORS INCLUDING ANNUAL TARGETS** | **PLANNED ACTIVITIES**  ***List all the activities including monitoring and evaluation activities to be undertaken during the year towards stated CP outputs*** | **EXPENDITURES**  ***List actual expenditures against activities completed*** | **RESULTS OF ACTIVITIES**  ***DURING 2nd QUARTER*** | **PROGRESS TOWARDS ACHIEVING CP OUTPUTS**  **Using data on annual indicator targets, state progress towards achieving the CP outputs. Where relevant, comment on factors that facilitated and/or constrained achievement of results including:** |
| --- | --- | --- | --- | --- |
| 1. Disaster risk management mainstreamed in policies and development plans  *Baseline:*  No up to date Act  Handbook in draft form  No printed operational guidelines and DRR framework  Limited coverage of DRM in school curriculum  No PER for DRM  1 DDP with DRR incorporated  *Indicators:*  Consultants for reviewing the Act contracted  Consultants for PER contracted  Number of DRR handbooks, DRR frameworks and opearational guidelines disseminated to key stakeholders  Revised curriculum with DRM  *Targets:*  Finalize DRM policy  Draft DRM Act by December  DRM PER finalized  International DR day celebrated  DRM incorporated in new primary and secondary school curricula  3 DDP with DRR integrated | Finalize the revised Disaster Preparedness and Relief Act |  | Pending for finalisation |  |
| Finalize the National Disaster Risk Management Policy and submit to Cabinet |  | Pending for approval |
| Conduct a Public Expenditure Review (PER) for DRM. |  | Finalized |
| Introduce DRM into school curricula (awareness raising and monitorin) |  | Processes underway |
| Finalise, Print and launch operational guidelines |  | Processes underway |
| Integrate DRM into District Development Plans in 15( 6 for 2014) vulnerable districts  Support national training on search and rescue  Support International Day for Disaster Risk Reduction |  | DRM integrated into development plans of 9 vulnerable districts (Karonga, Phalombe, Chikhwawa, Mangochi, Zomba, Dedza, Ntcheu, Nkhotakota and Nkhatabay)  Training scheduled for 3rd quarter  Preparations are underway for this year’s function. |
| **OUTPUT 2:** Data and knowledge on the impact of natural disasters collected and made accessible to decision makers in Government, Private Sector Civil Society, and Communities  *Baseline:*  No DoDMA led grant facility  No DRM communication strategy  No media trained in reporting on DRM  1 database with major gaps  *Indicator:*  Timely establishment of modus operandi for the grant facility  Data collection exercise  Improved reporting on disaster risk management by the media  *Target :*  ToRs for grant facility developed  DRM communication strategy developed  30 media personnel trained  Detailed database established | Conduct orientation of successful SGS grantees |  | Completed |  |
| Grant disbursement |  | 1st tranche disbursed. |  |
| Establish a gender disaggregated disaster database targeting 15 disaster prone districts and 4 city councils(consultant, training, data collection)  Finalise, launch and operationalize the national communication strategy on DRM  Monitoring  Review meetings |  | Baseline data collected in 6 districts (Chikhwawa, Nsanje, Zomba, Machinga, Rumphi and Karonga). ToRs for the development of database under development |  |
| **OUTPUT 3:** Coordination mechanisms and implementation arrangements for DRM/DRR established and used at national level and in the 15 disaster-prone districts.  *Baseline:*  No national DRM platform  Inadequate simulation exercises  No officers trained in search and rescue  *Indicator:*  Number of national platform meetings held and knowledge products held  Number of simulation exercises conducted  Number of officers trained  Number of contingency plans reviewed/developed  *Target:*  National platform launch  2 simulation exercises  1 national and 4 district contingency plans | Support National Platform( Symposium) |  | Platform meeting held. Joint symposium on DRM, Climate Change and Environment being planned |  |
| Support simulation exercise at community, district and national level  Participate in relevant DRR local and international meetings and conferences |  | Simulation exercise planned for 4th quarter  International and local meetings attended |  |
| Support to sector working group(SWG)  Support to Technical Committee (TC) |  | Not done in 2nd quarter for both SWG and TC; planned for 3rd and 4th quarter |  |